



TEXAS

Health and Human Services

Cecile E. Young, Executive Commissioner

Request for Applications (RFA)

Grant

Substance Use Intervention for the Program

Pregnant Parenting Intervention

RFA No. HHS0012453

DEADLINE FOR SUBMISSION OF APPLICATIONS

March 7, 2023, by 10:30 a.m. Central Time

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**ARTICLE I. EXECUTIVE SUMMARY, DEFINITIONS, AND
STATUTORY AUTHORITY**

1.1 EXECUTIVE SUMMARY

The Texas Health and Human Services Commission (HHSC), the System Agency, is accepting Applications for the Pregnant Parenting Intervention (PPI) program for State Fiscal Year (FY) 2024 to enhance Intervention programs by funding Substance Use Intervention Programs for target populations across the State.

The purpose of the Pregnant Parenting Intervention (PPI) program is to provide Substance Use Intervention services to pregnant and parenting individuals. HHSC seeks multiple awardees for PPI programs to provide Intervention services aimed at reducing the impact, severity, and cost associated with a substance exposed pregnancy to the mother and child Dyad and to their Families. The program will provide comprehensive Case Management services, Community-Based linkage and retention services and Evidence-Informed education for individuals with a past or present Substance Use Disorder (SUD) diagnosis and providing support to their families and significant others. The total funding amount is \$2,310,000 per FY, for five (5) FYs (\$11,550,000). Maximum award amount is \$330,000 per awardee per FY.

Applicants should reference **Article II, Scope of Grant Project**, for further detailed information regarding the purpose, background, eligible population, eligible activities, and requirements.

Grant Name:	Substance Abuse Prevention and Treatment Block Grant (SABG)
RFA No.:	HHS0012453
Deadline for Submission of Applications:	March 7, 2023, by 10:30 a.m. Central Time
Deadline for Submitting Questions or Requests for Clarifications:	February 13, 2023, by 5:00 p.m. Central Time
Estimated Total Available Funding:	\$11,550,000
Estimated Total Number of Awards:	Seven (7)
Estimated Max Award Amount:	\$330,000 (Per awardee per State Fiscal Year)
Match Required, if any:	5%
Anticipated Project Start Date:	September 1, 2023
Length of Project Period:	Five (5) State Fiscal Years (FY 24 - 28)

<p>Eligible Applicants:</p>	<p>State and Local Governments, Public and Private nonprofit entities. One (1) awardee is to be located in each of the following six (6) counties: Bexar, Dallas, Harris, Nueces, Tarrant, and Travis.</p> <p>The seventh award will be the highest score in the remaining 19 eligible counties below.</p> <p>Additional Eligible Counties: Bell, Cameron, Collin, Denton, Ector, El Paso, Galveston, Gregg, Hidalgo, Jefferson, Johnson, Lubbock, McLennan, Montgomery, Potter, Smith, Taylor, Webb, Williamson.</p>
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To be considered for screening, evaluation, and award, Applicants must provide and submit all required information and documentation as set forth in **Article VIII, Application Organization and Submission Requirements** and **Article XIII, Submission Checklist** by the Deadline for Submission of Applications established in **Section 7.1, Schedule of Events**, or subsequent Addenda. See **Section 9.2, Initial Compliance Screening of Applications**, for further details.

1.2 DEFINITIONS AND ACRONYMS

Unless a different definition is specified, or the context clearly indicates otherwise, the definitions and acronyms given to a term below apply whenever the term appears in this RFA. All other terms have their ordinary and common meaning.

Refer to all attachments, exhibits, and forms to this RFA for additional definitions.

[“Addendum”](#) means a written clarification or revision to this RFA, including exhibits, forms, and attachments, as issued and posted by HHSC to the HHS Grants RFA website.

[“Adult”](#) means a person eighteen (18) years of age or older, or a person under the age of 18 whose disabilities of minority have been removed by marriage or judicial decree.

[“Allowable Expense”](#) means charges to the program, which are allowed under the terms of the Contract.

[“Applicant”](#) means any person or legal entity that submits an Application in response to this RFA. The term includes the individual submitting the Application who is authorized to sign the Application on behalf of the Applicant and to bind the Applicant under any Grant Agreement that may result from the submission of the Application. May also be referred to in this RFA or its exhibits as [“Respondent”](#).

[“Application”](#) means all documents the Applicant submits in response to this RFA, including all required forms and exhibits. May also be referred to in this RFA as [“Solicitation Response”](#) or [“Response”](#).

[“Assessment”](#) means an ongoing process through which a qualified professional collaborates with the Client and others to gather and interpret information necessary for

developing and revising a Service Plan and evaluating Client progress toward achievement of goals identified in the Service Plan, resulting in a comprehensive identification of the Client's strengths, weaknesses, and problems/needs.

“Budget” means the financial plan for carrying out the Grant Project, as formalized in the Grant Agreement, including awarded funds and any required Match, submitted as part of the Application in response to this RFA. An Applicant's requested Budget may differ from the System Agency-approved Budget executed in the final Grant Agreement.

“Case Management” means services that assist and support Clients in developing skills to gain access to and obtain services from needed medical, social, educational, and other service providers essential to meeting basic human needs. This function consists of Assessment of needs, appropriate referrals, follow-up on referrals, and a plan of action with clear goals.

“CFR” means the Code of Federal Regulations which is the codification of the general and permanent rules published in the Federal Register by the executive departments and agencies of the federal government.

“Clinical Management for Behavioral Health Services (CMBHS)” means the web-based clinical record-keeping system for State-contracted community mental health and Substance Use service providers.

“Client” means a member of the target population who is served under a Grant Agreement as a result of this RFA.

“Community-Based” means being in the communities served, geographically and philosophically.

“Contract” means a written document referring to promises and/or agreements for which the law establishes enforceable duties and remedies between a minimum of two parties (HHSC and Grantee) and is awarded as a result of this RFA. A HHSC Contract is assembled using a core Contract (base), one or more program attachments, and other required exhibits (general provisions, etc.). May also be referred to in this RFA or its exhibits as “Grant Agreement”.

“Contract Term” means the period of time during which the Contract or program attachment will be effective from begin date to end, including renewals or extensions, if any. The Contract Term may or may not be the same as the budget period.

“Contractor” means the party receiving funds under any Grant Agreement awarded under this RFA. May also be referred to in this RFA or its exhibits as “Subrecipient” or “Grantee”.

“Cost Reimbursement” means a payment mechanism in which funds are provided to carry out approved activities based on an approved eight-category line-item (categorical) budget. Amounts expended in support of providing services and goods, if any, in accordance with the Contract terms and conditions must be billed on a monthly basis for reimbursement unless otherwise specified in the Contract. Reimbursement is based on actual allowable costs incurred that comply with the cost principles applicable to the grant that funds the Contract.

“Cultural Competency Demonstration” means the adequate and accurate knowledge and skill by a staff member, program, or agency to effectively respond to an individual's and/or

community's needs through knowledge of communication, actions, customs, beliefs, and values within the individual's racial, ethnic, socioeconomic, religious, and social groups.

[“Culturally and Linguistically Appropriate Services \(CLAS\)”](#) means a guidance document comprising a set of requirements, implementation strategies, and additional resources to help providers/programs establish and expand culturally and linguistically appropriate services.

[“Direct Cost”](#) means those costs that can be identified specifically with a particular final cost objective under the Grant Project responsive to this RFA or other internally or externally funded activity, or that can be directly assigned to such activities relatively easily with a high degree of accuracy. Costs incurred for the same purpose in like circumstances must be treated consistently as either direct or indirect costs. Direct Costs include, but are not limited to, salaries, travel, Equipment, and supplies directly benefiting the grant-supported Project or activity.

[“DSHS”](#) means the Department of State Health Services.

[“Due Date”](#) means the deadline for submission of a document or deliverable.

[“Dyad”](#) means two individuals (such as mother and child) maintaining a sociologically significant relationship.

[“Effective Date”](#) means the date the Contract Term begins.

[“Equipment”](#) means pursuant to [2 CFR § 200.1](#), tangible personal property (including information technology systems) having a useful life of more than one year and a per-unit acquisition cost which equals or exceeds the lesser of the capitalization level established by the non-federal entity for financial statement purposes, or \$5,000. [See §200.1](#) for capital assets, computing devices, general purpose Equipment, information technology systems, special purpose Equipment, and supplies.

[“Evidence-Informed”](#) means practices comprised from leading educational research, evidence-based studies, real life experience, and current best practices.

[“Family”](#) means the Parents (father, mother, stepparent, foster-parent, guardian to a child, or partners to guardian of the child), brothers, sisters, other relatives, foster Parents, guardians, or significant others who perform the roles and functions of Family members in the lives of the Participants.

[“Fiscal Year”](#) means the State Fiscal Year which is from September 1 through August 31 of the following year. The Federal Fiscal Year is from October 1 through September 30 of the following year. This is not to be confused with Federal Grant Project periods. In this RFA or its exhibits a reference to Fiscal Year means the State Fiscal Year unless otherwise indicated.

[“Globalscape EFT”](#) means an electronic file transfer system which transfers, manages and tracks data between the Grantee and HHSC.

[“Grant Agreement”](#) means the agreement entered into by the System Agency and the Grantee as a result of this RFA, including the Signature Document and all attachments and amendments. May also be referred to in this RFA or its exhibits as [“Contract”](#).

“Grant Project” or “Project” means the specific work and activities that are supported by the funds provided under the Grant Agreement as a result of this RFA.

“HHS” means both the Health and Human Services Commission (HHSC) and the Department of State Health Services (DSHS).

“Human Immunodeficiency Virus (HIV)” means the virus that causes Acquired Immune Deficiency Syndrome (AIDS). Infection is determined through a testing and counseling process overseen by the Texas Department of State Health Services (DSHS). Being infected with HIV is not necessarily equated with having a diagnosis of AIDS, which can only be diagnosed by a physician using criteria established by the National Centers for Disease Control and Prevention (CDC).

“Indirect Cost” means those costs incurred for a common or joint purpose benefitting more than one cost objective, and not readily assignable to the cost objectives specifically benefitted, without effort disproportionate to the results achieved. Indirect Costs represent the expenses of doing business that are not readily identified with the Grant Project responsive to this RFA but are necessary for the general operation of the organization and the conduct of activities it performs.

“Indirect Costs Rate” is a device for determining in a reasonable manner the proportion of Indirect Costs each program should bear. It is the ratio (expressed as a percentage) of the Grantee’s Indirect Costs to a Direct Cost base.

“Intervention” means the interruption of the onset or progression of Substance Use through mental health and behavioral health issue strategies targeting indicated populations.

“Match” means the non-federal and/or non-State share of costs the Grantee is required to contribute to accomplish the purpose of the Grant Project.

“Memorandum of Understanding (MOU)” means a formal agreement outlining party responsibilities and for purposes of this RFA and resulting Contract are to be executed between the Grantee and community agencies and organizations.

“Opioid Treatment Services” means medication-assisted treatment (MAT) or any other opioid misuse disorder services.

“Overdose Prevention Education” means educating Participant on the tools and resources needed to identify and respond to a substance overdose, including providing naloxone.

“Parents” means the father, mother, stepparent, foster-parent, guardian to a child, or partners to guardian of the child.

“Participant” means an individual who is receiving Prevention or Intervention services.

“Postpartum” means occurring in or being the period following childbirth.

“Prenatal” means before birth; during or relating to pregnancy.

“Prevention” means a proactive process that uses multiple strategies to preclude the illegal use of alcohol, tobacco, and other drugs and to foster safe, healthy, drug-free environments.

“Project Period” means the initial period of time set forth in the Grant Agreement during which Grantees may perform approved grant-funded activities to be eligible for reimbursement or payment. Unless otherwise specified, the Project Period begins on the

Grant Agreement effective date and ends on the Grant Agreement termination or expiration date, and represents the base Project Period, not including extensions or renewals. When referring to the base Project Period plus anticipated renewal or extension periods, [“Grant Term”](#) is used.

[“Qualified Credentialed Counselor”](#) means a licensed chemical dependency counselor or one of the practitioners listed below who is licensed and in good standing in the State of Texas and has at least 1,000 hours of documented experience treating substance-related disorders:

1. Licensed professional counselor (LPC);
2. Licensed master social worker (LMSW);
3. Licensed marriage and family therapist (LMFT)
4. Licensed psychologist;
5. Licensed physician;
6. Licensed physician's assistant;
7. Certified addictions registered nurse (CARN); or
8. Advanced practice nurse practitioner recognized by the Board of Nurse Examiners as a clinical nurse specialist or nurse practitioner with a specialty in psych-mental health (APN-P/MH).

[“RFA”](#) means this Request for Applications, including all parts, exhibits, forms, attachments and Addenda posted on the HHS Grants RFA website. May also be referred to herein as [“Solicitation”](#).

[“Scope of Grant Project”](#) means a statement outlining specific services a contractor is expected to perform, indicating the type, level, and quality of service, as well as the time schedule required.

[“Screening”](#) means a process that identifies indicators for further Assessment and needs for referral to services.

[“Service Plan”](#) means an individualized, written plan used to guide the Client’s services. The plan is developed and implemented through a collaborative process between qualified personnel and the Client. At a minimum, the Service Plan addresses the needs and goals identified through an Assessment process.

[“State”](#) means the State of Texas and its instrumentalities, including the System Agency and any other State agency, its officers, employees, or authorized agents.

[“Statement of Work”](#) means a statement outlining specific services a Contractor is expected to perform, indicating the type, level, and quality of service, as well as the time schedule required.

[“Substance Use”](#) means anytime someone consumes alcohol or other drugs.

[“Substance Use Disorder \(SUD\)”](#) means a condition in which the use of one or more substances leads to a clinically significant impairment or distress.

“Substance Use Intervention” means the interruption of the onset or progression of Substance Use through strategies targeting indicated populations.

“System Agency” means HHSC, DSHS, or both, that will be a party to any Grant Agreement resulting from the RFA.

“TxGMS” means the Texas Grant Management Standards published by the Texas Comptroller of Public Accounts.

“Youth” means a person under 18 years.

1.3 STATUTORY AUTHORITY

The System Agency is requesting Applications under Chapter 531 of the Texas Government Code. State funds for this Grant Project are authorized under the Texas General Appropriations Act, Article II. All awards are subject to the availability of appropriated State funds and any modifications or additional requirements that may be imposed by law.

Federal funding for this Grant Project is authorized under the Public Service Act, as amended, and codified in Title 42 U.S.C. Chapter 6A, Subchapter XVIII, Part B, Subpart II and III. All awards are subject to the availability of appropriated federal funds and any modifications or additional requirements that may be imposed by law. Federal funding awarded to the System Agency is through the program listed below:

Federal Grant Program:	Substance Abuse Prevention and Treatment Block Grant (SABG)
Federal Awarding Agency:	Substance Abuse Mental Health Services Administration (SAMSHA) Center for Substance Abuse Treatment
Assistance Listing Number and Program Title:	93.959 Block Grants for Prevention and Treatment of Substance Abuse

1.4 STANDARDS

Awards made as a result of this RFA are subject to all policies, terms, and conditions set forth in or included with this RFA as well as applicable statutes, requirements, and guidelines including, but not limited to, applicable provisions of the Texas Grant Management Standards (TxGMS) and the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR 200).

ARTICLE II. SCOPE OF GRANT PROJECT

2.1 PURPOSE

This funding opportunity invites Grant Applications requesting funding for the Pregnant Parenting Individuals (PPI) program. The purpose of the PPI program is to address the specific needs of pregnant and parenting individuals by providing services aimed at reducing the impact, severity, and cost associated with a substance exposed pregnancy to the mother and child Dyad and their Families by offering comprehensive Case Management services, Community-Based linkage and retention services, support for Family members/significant others, and Evidence-Informed education for mothers who report a past and/or present Substance Use Disorder diagnosis. The continuum of services included in the PPI program are Substance Use services, mental health promotion, and Substance Use Disorder and mental health treatment and recovery.

The goal is to provide a planned and coordinated approach to ensure that Participants have continuous access to all available health and social services necessary to obtain an optimum level of functioning, prenatal/preventive care, and reduce the risk of Substance Use behavior.

2.2 PROGRAM BACKGROUND

Based on 2019 Texas Medicaid Claims, the six counties with highest claims for substance exposed pregnancies represented 4 to 27% of the total claims, **Attachment D, Neonatal Abstinence Program (NAS) Birthrates 2019.**

The PPI program addresses the impact, severity, and cost associated with a substance exposed pregnancy to the mother and child Dyad and to their Families by providing comprehensive Case Management services, Community-Based linkage and retention services and Evidence-Informed education for individuals with a past or present Substance Use Disorder diagnosis and providing support to their Families and significant others. Based on the needs of the Participant the PPI program encompasses an array of intervention services that addresses healthy birth weight babies and the health of the mother.

2.3 ELIGIBLE POPULATION

The eligible population to be served under this RFA consists of individuals who are:

1. Pregnant women, who reside in Texas, who report a past or present Substance Use Disorder, and her Family members; and/or
2. Parenting women, who reside in Texas, who report a past or present Substance Use Disorder and her Family members with the youngest child up to 6 (six) years old.

2.4 ELIGIBLE SERVICE AREAS

The service areas eligible for Project funding under this RFA are Bexar, Dallas, Harris, Nueces, Tarrant, and Travis counties.

Additional Eligible Counties include Bell; Cameron; Collin; Denton; Ector; El Paso; Galveston; Gregg; Hidalgo; Jefferson; Johnson; Lubbock; McLennan; Montgomery; Potter; Smith; Taylor; Webb; and Williamson.

2.5 ELIGIBLE ACTIVITIES

This grant program may fund activities and costs as allowed by the laws, regulations, rules, and guidance governing fund use identified in the relevant sections of this RFA. Only grant-funded activities authorized under this RFA are eligible for reimbursement and payment under any Grant Agreement awarded as a result of this RFA.

The primary focus of the RFA is providing Community-Based Intervention services, comprehensive Case Management and Evidence-Informed education to individuals who are Parents or expectant Parents to decrease the impact of Substance Use. Each Applicant must include the provision of Evidence-Informed services as part of its proposed Grant Project.

Primary services are Evidence-Informed education and services and may include, but are not limited to:

Pregnant Parenting Intervention (PPI)

Primary services are Linkage and Retention and Case Management services which may include, but are not limited to:

1. Outreach for high-risk pregnant and parenting females and their Families;
2. Promote and advocate for coordinated Prenatal and Postpartum Care;
3. Overdose Prevention Education;
4. Financial Assistance; and Substance Case Management.

Secondary services are directly related to the Participant and Family accessing primary services listed above and may include, but are not limited to:

1. Childcare;
2. Transportation;
3. Community-Based interventions;
4. Parenting education;
5. Coordinated referrals;
6. Shared data systems; and
7. Coordinated referral and referral follow-ups.

2.6 PROGRAM REQUIREMENTS

All Grant Projects funded under this RFA must meet the following program minimum requirements listed in **Attachment A, Scope of Grant Project**:

1. Section IV. Grantee Responsibilities;
2. Section V. Financial Assistance;
3. Section VI. Quality Management Requirements;
4. Section VII. Fiscal Requirements;
5. Section VIII. Data Reporting Requirements; and
6. Section IX. Submission Schedule and Reporting Requirements.

2.7 REQUIRED REPORTS

The System Agency will monitor Grantee's performance, including, but not limited to, through review of financial and programmatic reports and performance measures, under any Grant Agreement awarded as a result of this RFA. Each Grantee awarded a Grant Agreement as a result of this RFA must submit the following reports by the noted Due Dates:

Report Name	Due Date*	Transmission Method
Security Attestation Form and Authorized List of Users	September 15 and March 15	Globalscape EFT
Monthly Invoices	Due 15th day of the following month following the end of the prior month	CMBHS
Performance Measures	Due 15th day of the following month following the end of the prior month	CMBHS
Behavioral Health Disparities Impact Statement	Within 60 days of Contract execution and annually within 60 days of new Fiscal Year	Globalscape EFT
Submission and Update of Regional Service Directory	December 15 and June 15	Globalscape EFT
Financial Status Report (FSR)	Last business day of the month following the end of each quarter of the Contract Term	CMBHS

	Q1: December 30 Q 2: March 31 Q 3: June 30 Q 4: October 15**	
General Ledger Documentation	Last business day of the month following the end of each quarter of the Contract Term Q 1: December 30 Q 2: March 31 Q 3: June 30 Q 4: October 15**	Globalscape EFT
Quarterly Narrative	Due 15th day of the month following the end of each quarter of the Contract Term R 1: December 15 Q 2: March 15 Q 3: June 15 Q 4: September 15	Globalscape EFT
Quality Management Quarterly Report	Due 30th day of the month following the end of each quarter of the Contract Term Q 1: December 30 Q 2: March 30 Q 3: June 30 Q 4: September 15	Globalscape EFT
Budgets for Fiscal Years 2025, 2026, 2027, and 2028	June 1st Budget for future Fiscal Year	Globalscape EFT
Closeout Documents	45 days after the end of the fiscal term	Globalscape EFT

*If the Due Date is on a weekend or holiday, the Due Date is the next business day.

** For Financial Status Report and General Ledger Documentation Q 4 reports are due October 15.

Grantee shall provide all applicable reports in the format specified by System Agency in an accurate, complete, and timely manner and shall maintain appropriate supporting backup documentation. Failure to comply with submission deadlines for required reports,

Financial Status Reports (FSRs), or other requested information may result in System Agency, in its sole discretion, placing the Grantee on financial hold without first requiring a corrective action plan in addition to pursuing any other corrective or remedial actions under the Grant Agreement.

2.8 PERFORMANCE MEASURES AND MONITORING

The System Agency will look solely to Grantee for the performance of all Grantee obligations and requirements in a Grant Agreement resulting from this RFA. Grantee shall not be relieved of its obligations for any nonperformance by its subgrantees or subcontractors, if any.

Grant Agreement(s) awarded as a result of this RFA are subject to the System Agency’s performance monitoring activities throughout the duration of the Grant Project period. This evaluation may include a reassessment of Project activities and services to determine whether they continue to be effective throughout the Grant Term.

Grantees must regularly collect and maintain data that measures the performance and effectiveness of activities under a Grant Agreement resulting from this RFA in the manner, and within the timeframes specified in this RFA and resulting Grant Agreement, or as otherwise specified by System Agency. Grantees must submit the necessary information and documentation regarding all requirements, including reports and other deliverables and will be expected to report quarterly on the following measures:

PPI Performance Measures	Sept- Nov	Dec- Feb	Mar- May	Jun- Aug	TOTAL
Number of eligible Participants in targeted outreach encounters.	50	50	50	50	200
Number of linkages, referral, support, and retention activities with Participants.	90	90	90	90	360
Number of alternative activities and/or support groups which are peer-led.	12	12	12	12	48
Number of coordination with community partners, presentations, street outreach efforts, health fairs, tours of facilities and similar which may serve Participants.	6	6	6	6	24
Number of open, unduplicated Families on caseload each month.	120	120	120	120	480
Number of psychoeducational support groups.	12	12	12	12	48

Number of Participants receiving certificate of completion for education groups and/or coursework.	20	20	20	20	80
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If requested by System Agency, the Grantee shall report on the progress towards completion of the Grant Project and other relevant information as determined by System Agency during the Grant Project Period. To remain eligible for renewal funding, if any, the Grantee must be able to show the scope of services provided and their impact, quality, and levels of performance against approved goals, and that Grantee’s activities and services effectively address and achieve the Project's stated purpose.

2.9 FINANCIAL STATUS REPORTS (FSRs)

Except as otherwise provided, for Grant Agreements with categorical Budgets, Grantee shall submit quarterly FSRs to System Agency by the last business day of the month following the end of each calendar quarter for System Agency review and financial assessment. Through submission of a FSR, Grantee certifies that (1) any applicable invoices have been reviewed to ensure all grant-funded purchases of goods or services have been completed, performed, or delivered in accordance with Grant Agreement requirements; (2) all Grantee-performed services have been completed in compliance with the terms of the Grant Agreement; (3) that the amount of the FSR added to all previous approved FSRs does not exceed the maximum liability of the Grant Award; and (4) all expenses shown on the FSR are allocable, allowable, actual, reasonable, and necessary to fulfill the purposes of the Grant Agreement.

2.10 FINAL BILLING SUBMISSION

Unless otherwise directed by the System Agency, Grantee shall submit a reimbursement or payment request as a final close-out invoice not later than forty-five (45) calendar days following the end of the term of the Grant Agreement. Reimbursement or payment requests received after the deadline may not be paid.

2.11 DATA USE AGREEMENT

By submitting an Application in response to this RFA, Applicant agrees to be bound by the terms of **Exhibit D, HHS Data Use Agreement (DUA) Governmental Entity Version**, or **Exhibit D-1, HHS Data Use Agreement (DUA)** including, but not limited to, the terms and conditions regarding **Exhibit D-2, Texas HHS System-Data Use Agreement-Attachment 2 Security and Privacy Inquiry (SPI)**, attached to this RFA.

2.12 LIMITATIONS ON GRANTS TO UNITS OF LOCAL GOVERNMENT

Pursuant to the General Appropriations Act, Article IX, Section 4.04:

In each Grant Agreement with a unit of local government, grant funds appropriated under the General Appropriations Act will be expended subject to limitations and reporting requirements similar to those provided by:

1. Parts 2, 3, and 5 of Article IX of the General Appropriations Act (except there is no requirement for increased salaries for local government employees);
2. [Sections 556.004, 556.005, and 556.006, Texas Government Code](#); and
3. [Sections 2113.012 and 2113.101, Texas Government Code](#).

In this section, "unit of local government" means:

1. A council of governments, a regional planning commission, or a similar regional planning agency created under [Chapter 391, Local Government Code](#);
2. A local workforce development board; or
3. A community center as defined by [Health and Safety Code, Section 534.001\(b\)](#).

ARTICLE III. APPLICANT ELIGIBILITY REQUIREMENTS

3.1 LEGAL AUTHORITY TO APPLY

By submitting an Application in response to this RFA, Applicant certifies that it has legal authority to apply for the Grant Agreement that is the subject of this RFA and is eligible to receive awards. Further, Applicant certifies it will continue to maintain any required legal authority and eligibility throughout the entire duration of the Grant Term, if awarded. All requirements apply with equal force to Applicant and, if the recipient of an award, Grantee and its subgrantees or subcontractors, if any.

Each Applicant may only submit one Grant Application per identified county: Bexar; Dallas; Harris; Tarrant; Nueces; and Travis.

Additional eligible counties: Bell; Cameron; Collin; Denton; Ector; El Paso; Galveston; Gregg; Hidalgo; Jefferson; Johnson; Lubbock; McLennan; Montgomery; Potter; Smith; Taylor; Webb; and Williamson.

3.2 APPLICATION SCREENING REQUIREMENTS

In order to be considered an Applicant eligible for evaluations, Applicant must meet the following minimum requirements:

1. Eligible Applicants include state and local governments and public or private non-profit 501(c)(3) entities, and must provide services in Bexar, Dallas, Harris, Tarrant, Nueces, and/or Travis counties.

Additional eligible counties: Bell; Cameron; Collin; Denton; Ector; El Paso; Galveston; Gregg; Hidalgo; Jefferson, Johnson; Lubbock; McLennan; Montgomery; Potter; Smith; Taylor; Webb; and Williamson. Applicants must comply with the criteria listed below under this RFA at the time the Application is submitted.

2. In order for the Application to be evaluated, the Applicant must provide the following:
 - a. Documentation from the U.S. Internal Revenue Service to support the organizations legal entity type (i.e., government, non-profit);
 - b. Documentation from the U.S. Internal Revenue Service and the Texas Secretary of State to support the organization is in good standing;
 - c. Documentation of having a Texas address and must have a current physical location where services are to be provided in the proposed service area. A post office box may be used when the Application is submitted for correspondence;
 - d. Applicant must be in compliance with Texas Comptroller of Public Accounts and Texas Procurement and Support Services rules, a name search will be conducted using the websites listed in this section prior to the development of a Contract to verify the Applicant's legal name and confirm that the Applicant is in good standing with the State; and
 - e. To be eligible for the PPI program, Applicants must agree to provide intervention services to Youth and Adults in the awarded service region and include virtual services via video conferencing technology.
3. Applicant is not considered eligible to Contract with HHSC, regardless of the funding source, if a name match is found on any of the following listed below:
 - a. The General Services Administration's (GSA) System for Award Management (SAM) for parties excluded from receiving federal Contracts, certain subcontracts and from certain types of federal financial and non-financial assistance and benefits. <https://www.gsa.gov/small-business/small-business-resources/training-resources/system-for-award-management-sam-contract-data-bank>;
 - b. The Office of Inspector General (OIG) List of Excluded Individuals/Entities Search <https://oig.hhs.texas.gov/exclusions>; and
 - c. Texas Comptroller of Public Accounts (CPA) Debarment List located at <https://comptroller.texas.gov/purchasing/programs/vendor-performance-tracking/debarred-vendors.php>.
 - d. Applicants are not eligible to apply for funds under this RFA if currently debarred, suspended, or otherwise excluded or ineligible for participation in federal or state assistance programs;
 - e. Applicant may not be eligible for Contract award if audit reports or financial statements submitted with the Application identify concerns regarding the future

viability of the Applicant, material non-compliance, or material weaknesses that are not satisfactorily addressed, as determined by HHSC;

- f. Applicant's staff members, including the executive director, must not serve as voting members on their employer's governing board;

Applicants must continue to meet the eligibility conditions throughout the selection and funding process. HHSC expressly reserves the right to review and analyze the documentation submitted and to request additional documentation and determine the Applicant's eligibility to receive/compete for the Contract award.

3.3 GRANT AWARD ELIGIBILITY

By submitting an Application in response to this RFA, Applicant certifies that:

1. Applicant and all of its identified subsidiaries intending to participate in the Grant Agreement are eligible to perform grant-funded activities, if awarded, and are not subject to suspension, debarment, or a similar ineligibility determined by any state or federal entity;
2. Applicant is in good standing under the laws of Texas and has provided HHS with any requested or required supporting documentation in connection with this certification;
3. Applicant shall remain in good standing and eligible to conduct its business in Texas and shall comply with all applicable requirements of the Texas Secretary of State and the Texas Comptroller of Public Accounts;
4. Applicant is currently in good standing with all licensing, permitting, or regulatory bodies that regulate any or all aspects of Applicant's operations; and
5. Applicant is not delinquent in taxes owed to any taxing authority of the State of Texas as of the Effective Date of a Grant Agreement issued from this RFA.

3.4 GRANTS FOR POLITICAL POLLING PROHIBITED

Pursuant to the General Appropriations Act, Article IX, Section 4.03, none of the funds appropriated by the General Appropriations Act may be granted to or expended by any entity which performs political polling. This prohibition does not apply to a poll conducted by an academic institution as part of the institution's academic mission that is not conducted for the benefit of a particular candidate or party. By submitting a response to this RFA, Applicant certifies that it is not ineligible for a Grant Agreement pursuant to this prohibition.

The remainder of this page is left blank intentionally.

ARTICLE IV. PROJECT PERIOD AND GRANT TERM

4.1 PROJECT PERIOD

The Project Period is anticipated to be **September 1, 2023**, through **August 31, 2028**.

4.2 GRANT TERM

The System Agency may, at its sole discretion, extend the Grant Term for any period(s) of time through Grant Agreement extensions or renewals with funded Project Periods, provided the Grant Term, including all extensions or renewals, does not exceed five (5) years.

4.3 PROJECT CLOSEOUT

System Agency will programmatically and financially close the Grant award and end the Grant Agreement when System Agency determines Grantee has completed all applicable actions and work in accordance with Grant Agreement requirements. The Grantee must submit all required financial, performance, and other reports as required in the Grant Agreement. The Project close-out date is 45 calendar days after the Grant Agreement end date, unless otherwise noted in the original or amended Grant Agreement. Funds not obligated by Grantee by the end of the Grant Agreement term and not expended by the Project close-out date will revert to System Agency.

ARTICLE V. GRANT FUNDING AND REIMBURSEMENT INFORMATION

5.1 GRANT FUNDING SOURCE AND AVAILABLE FUNDING

The total amount of State/Federal blended funding available for the Pregnant Parenting Intervention grant program is **\$11,550,000** for the entire Project Period. It is the System Agency's intention to make multiple awards to Applicants that successfully meet all requirements and receive the top scoring evaluations.

Applicants are strongly cautioned to only apply for the amount of Grant funding they can responsibly expend during the Project Period to avoid lapsed funding at the end of the

Grant Term. Successful Applications may not be funded to the full extent of Applicant's requested Budgets in order to ensure Grant funds are available for the broadest possible array of communities and programs.

Reimbursement will only be made for actual, allowable, and allocable expenses that occur within the Project Period. No spending or costs incurred prior to the effective date of the award will be eligible for reimbursement.

5.2 NO GUARANTEE OF REIMBURSEMENT AMOUNTS

There is no guarantee of total reimbursements to be paid to any Grantee under any Grant Agreement, if any, resulting from this RFA. Grantees should not expect to receive additional or continued funding under future RFA opportunities and should maintain sustainability plans in case of discontinued grant funding. Any additional funding or future funding may require submission of a new Application through a subsequent RFA.

Receipt of an Application in response to this RFA does not constitute an obligation or expectation of any award of a Grant Agreement or funding of a grant award at any level under this RFA.

5.3 GRANT FUNDING PROHIBITIONS

Grant funds may not be used to support the following services, activities, and costs:

1. Any use of grant funds to replace (supplant) funds that have been budgeted for the same purpose through non-grant sources;
2. Inherently religious activities such as prayer, worship, religious instruction, or proselytization;
3. Lobbying or advocacy activities with respect to legislation or to administrative changes to regulations or administrative policy ([cf. 18 U.S.C. § 1913](#)), whether conducted directly or indirectly;
4. Any portion of the salary of, or any other compensation for, an elected or appointed government official;
5. Vehicles for general agency use; to be allowable, vehicles must have a specific use related to Project objectives or activities;
6. Entertainment, amusement, or social activities and any associated costs including but not limited to admission fees or tickets to any amusement park, recreational activity or sporting event unless such costs are incurred for components of a program approved by the grantor agency and are directly related to the program's purpose;
7. Costs of promotional items, and memorabilia, including models, gifts, and souvenirs;

8. Food, meals, beverages, or other refreshments, except for eligible per diem associated with grant-related travel, where pre-approved for working events, or where such costs are incurred for components of a program approved by the grantor agency and are directly related to the program's purpose;
9. Membership dues for individuals;
10. Any expense or service that is readily available at no cost to the Grant Project;
11. Any activities related to fundraising;
12. Equipment and other capital expenditures such as capital improvements, property losses and expenses, real estate purchases, mortgage payments, remodeling, the acquisition or construction of facilities, or other items that are unallowable pursuant to [2 CFR § 200.439](#);
13. Any other prohibition imposed by federal, State, or local law; and
14. Other unallowable costs as listed under [TxGMS](#), Appendix 7, Selected Items of Cost Supplement Chart and/or [2 CFR 200, Subpart E – Cost Principles, General Provisions for Selected Items of Cost](#), where applicable.

5.4 COST SHARING OR MATCHING REQUIREMENTS

Match must be treated consistently with grant funds and used only for allowable and allocable purposes.

All cost sharing or matching funds and contributions must meet all the following criteria:

1. Be verifiable from the Grantee's records;
2. Not be included as contributions for any other State or federal award;
3. Be necessary and reasonable for accomplishment of Grant Project objectives;
4. Be allowable under the Grant Agreement;
5. Not be paid by the State or federal government; and
6. Be provided for in the approved Grant Project Budget.

Donations: The value of donated services may be used to meet cost sharing or matching requirements. If a third party donates supplies, the contribution will be valued at the market value of the supplies at the time of donation. If a third party donates the use of Equipment or space in a building, but retains title, the contribution will be valued at the fair rental rate of the Equipment or space. If a third party donates Equipment, building, or land, and title passes to Grantee, the treatment of the donated property will be determined based on [TxGMS](#), Cost Sharing or Matching Section.

Unrecovered Indirect Costs, including Indirect Costs on cost sharing or matching, may be included as part of cost sharing or matching. Unrecovered Indirect Cost means the

difference between the amount charged to the award and the amount which could have been charged to the award under the Applicant's Indirect Cost Rate.

Refer to Title [2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards](#) and [TxGMS](#) issued by the Texas Comptroller of Public Accounts for additional Match information and requirements.

5.5 PAYMENT METHOD

Grant Agreement(s) awarded under this RFA will be funded on a cost reimbursement basis for reasonable, allowable, and allocable Grant Project costs. Under the cost reimbursement payment method, Grantee is required to finance operations and will only be reimbursed for actual, allowable, and allocable costs incurred on a monthly basis and supported by adequate documentation. No additional payments will be rendered unless an advanced payment is approved.

ARTICLE VI. APPLICATION FORMS AND EXHIBITS FOR SUBMISSION

Note: Applicants must refer to **Article XIII, Submission Checklist**, for the complete checklist of documents that must be submitted with an Application under this RFA.

6.1 NARRATIVE PROPOSAL

Using **Forms C** through **F** attached to this RFA, Applicants shall provide an executive summary and describe their proposed activities, processes, and methodologies to satisfy all objectives described in **Article II, Scope of Grant Project**, including the Applicant's problem statement, supporting data, Project approach and activities, organizational capacity, performance management, target population, and use of Evidence-Informed practices. Applicants should identify all proposed tasks to be performed, including all Project activities, during the Grant Project Period. Applicants must complete and submit all required attachments, including:

1. **Form C, Pregnant Parenting Intervention (PPI) Program Background and Staffing** Utilizing **Form C** attached to this RFA, Applicants will provide a response to all questions stated on the corresponding form on a three-page narrative.
2. **Form D, Pregnant Parenting Intervention (PPI) Program Narrative** Utilizing **Form D** attached to this RFA, Applicants will provide a response to all questions stated on the corresponding form on a six-page narrative.
3. **Form E, Texas Health and Human Services System Indirect Costs Rate (ICR) Questionnaire**
4. **Form F, Financial Management and Administrative Questionnaire**

6.2 REQUESTED BUDGET

Attached **Exhibit C, Requested Budget Template**, of this RFA is the template for submitting the requested Budget. Applicants must develop the requested Budget to support their Proposed Project and in alignment with the requirements described in this RFA.

Applicants must ensure that Project costs outlined in the requested Budget are reasonable, allowable, allocable, and developed in accordance with applicable State and federal grant requirements. Reasonable costs are those if, in nature and amount, do not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made to incur the cost. A cost is allocable to a particular cost objective if the cost is chargeable or assignable to such cost objective in accordance with relative benefits received. See 2 CFR § 200.403 or TxGMS Cost Principles, Basic Considerations (pgs. 32-33), for additional information related to factors affecting allowability of costs.

If selected for a grant award under this RFA, only System Agency-approved Budget items in the requested Budget may be considered eligible for reimbursement.

Applicants must utilize the Budget template provided, **Exhibit C, Requested Budget Template**, and identify all Budget line items and matching costs. Budget categories must be broken out into specific Budget line items that allow System Agency to determine if proposed costs are reasonable, allowable, and necessary for the successful performance of the Project. Applicants must enter all costs in the Budget tables and explain why the cost is necessary and how the cost was established. Matching funds must also be identified in the requested Budget.

Submission of Exhibit C, Requested Budget Template, is mandatory.

6.3 INDIRECT COSTS

Applicants must have an approved Indirect Cost Rate (ICR) or request the de minimis rate to recover Indirect Costs. All Applicants are required to complete and submit **Form E, Texas Health and Human Services System Indirect Costs Rate (ICR) Questionnaire**, with required supporting documentation. Entities declining the use of Indirect Cost cannot recover Indirect Costs on any System Agency award or use unrecovered Indirect Costs as Match.

HHS typically accepts the following approved ICRs:

1. Federally Approved Indirect Cost Rate Agreement; or
2. State of Texas Approved Indirect Cost Rate.

The System Agency, at its discretion, may request additional information to support any approved ICR Agreement.

If the Applicant does not have an approved ICR Agreement, the Applicant may be eligible for the ten percent (10%) de minimis rate or may request to negotiate an ICR with HHS.

For Applicants requesting to negotiate an ICR with HHS, the ICR Proposal Package will be provided by the HHS Federal Funds Indirect Cost Rate Group to successful Grantees. The ICR Proposal Package must be completed and returned to the HHS Federal Funds Indirect Cost Rate Group no later than three (3) months post-award.

6.4 ADMINISTRATIVE APPLICANT INFORMATION

Using **Forms A** through **B-2** attached to this RFA, Applicant must provide satisfactory evidence of its ability as an organization to manage and coordinate the types of activities described in this RFA.

1. Litigation and Contract History

Applicant must include in its Application a complete disclosure of any alleged or significant contractual or grant failures.

In addition, Applicant must disclose any civil or criminal litigation or investigation pending over the last five (5) years that involves Applicant or in which Applicant has been judged guilty or liable. Failure to comply with the terms of this provision may disqualify Applicant. See **Exhibit A, HHS Solicitation Affirmations**. Applicant certifies it does not have any existing claims against or unresolved audit exceptions with the State of Texas or any agency of the State of Texas.

Application may be rejected based upon Applicant's prior history with the State of Texas or with any other party that demonstrates, without limitation, unsatisfactory performance, adversarial or contentious demeanor, or significant failure(s) to meet contractual or grant obligations.

2. Financial Management and Administrative Questionnaire

Applicant must complete **Form F, Financial Management and Administrative Questionnaire**, and submit with its Application.

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ARTICLE VII. RFA ADMINISTRATIVE INFORMATION AND INQUIRIES

7.1 SCHEDULE OF EVENTS

EVENT	DATE/TIME
Funding Announcement Posting Date Posted to HHS Grants RFA and Texas eGrants websites	February 6, 2023
Deadline for Submitting Questions or Requests for Clarification	February 13, 2023, by 5:00 p.m. Central Time
Tentative Date Answers to Questions or Requests for Clarification Posted	On or after February 21, 2023
Deadline for Submission of Applications NOTE: Applications must be <u>RECEIVED</u> by HHSC by this deadline if not changed by subsequent Addenda to be considered eligible.	March 7, 2023, by 10:30 a.m. Central Time
Anticipated Notice of Award	August 1, 2023
Anticipated Project Start Date	September 1, 2023

Applicants must ensure their Applications are received by HHSC in accordance with the Deadline for Submission of Applications (date and time) indicated in this Schedule of Events or as changed by subsequent Addenda posted to the [HHS Grants RFA website](#).

All dates are tentative and HHSC reserves the right to change these dates at any time. At the sole discretion of HHSC, events listed in the Schedule of Events are subject to scheduling changes and cancellation. Scheduling changes or cancellation determinations made prior to the Deadline for Submission of Applications will be published by posting an Addendum to the [HHS Grants RFA website](#). After the Deadline for Submission of Applications, if there are delays that significantly impact the anticipated award date, HHSC, at its sole discretion, may post updates regarding the anticipated award date to the [Procurement Forecast](#) on the [HHS Procurement Opportunities website](#). Each Applicant is responsible for checking the [HHS Grants RFA website](#) and [Procurement Forecast](#) for updates.

7.2 SOLE POINT OF CONTACT

All requests, questions, or other communication about this RFA shall be made by email **only** to the Grant Specialist designated as HHSC's Sole Point of Contact listed below:

Name: Amy Pearson

Title: Grant Specialist, HHSC Procurement and Contracting Services

Email: amy.pearson@hhs.texas.gov

Applicants shall not use this e-mail address for submission of an Application. Follow the instructions for submission as outlined in Article VIII, Application Organization and Submission Requirements.

However, if expressly directed in writing by the Sole Point of Contact, Applicant may communicate with another designated HHS representative, e.g., during grant negotiations as part of the normal grant review process, if any.

Prohibited Communications: Applicants and their representatives shall not contact other HHS personnel regarding this RFA.

This restriction (on only communicating in writing by email with the sole point of contact identified above) does not preclude discussions between Applicant and agency personnel for the purposes of conducting business unrelated to this RFA.

Failure of an Applicant or its representatives to comply with these requirements may result in disqualification of the Application.

7.3 RFA QUESTIONS AND REQUESTS FOR CLARIFICATION

Written questions and requests for clarification of this RFA are permitted if submitted by email to the Sole Point of Contact by the Deadline for Submitting Questions or Requests for Clarification established in **Section 7.1, Schedule of Events**, or as may be amended in Addenda, if any, posted to the HHS Grants RFA website.

Applicants' names will be removed from questions in any responses released. All questions and requests for clarification must include the following information. Submissions that do not include this information may not be accepted:

1. RFA number;
2. Section or paragraph number from this solicitation;
3. Page number of this solicitation;
4. Exhibit or other attachment and section or paragraph number from the exhibit or other attachment;
5. Page number of the exhibit;

6. Language, topic, section heading being questioned; and
7. Question.

The following contact information must be included in the e-mail submitted with questions or requests for clarification:

1. Name of individual submitting question or request for clarification;
2. Organization name;
3. Phone number; and
4. E-mail address.

Questions or other written requests for clarification must be received by the Sole Point of Contact by the Deadline for Submitting Questions or Requests for Clarification set forth in this Section 7.1, Schedule of Events, or as may be amended in Addenda, if any, posted to the [HHS Grants RFA website](#).

HHSC may review and, at its sole discretion, may respond to questions or other written requests received after the Deadline for Submitting Questions or Requests for Clarification.

7.4 AMBIGUITY, CONFLICT, DISCREPANCY, CLARIFICATIONS

Applicants must notify the Sole Point of Contact of any ambiguity, conflict, discrepancy, exclusionary specification, omission, or other error in the RFA in the manner and by the Deadline for Submitting Questions or Requests for Clarification. Each Applicant submits its Application at its own risk.

If Applicant fails to properly and timely notify the Sole Point of Contact of any ambiguity, conflict, discrepancy, exclusionary specification, omission, or other error in the RFA, Applicant, whether awarded a Grant Agreement or not:

1. Shall have waived any claim of error or ambiguity in the RFA and any resulting Grant Agreement;
2. Shall not contest the interpretation by the HHSC of such provision(s); and
3. Shall not be entitled to additional reimbursement, relief, or time by reason of any ambiguity, conflict, discrepancy, exclusionary specification, omission, or other error or its later correction.

7.5 RESPONSES TO QUESTIONS OR REQUEST FOR CLARIFICATIONS

Responses to questions or other written requests for clarification will be consolidated and HHSC will post responses in one or more Addenda on the [HHS Grants RFA website](#). Responses will not be provided individually to requestors.

HHSC reserves the right to amend answers previously posted at any time prior to the Deadline for Submission of Applications. Amended answers will be posted on the [HHS Grants RFA website](#) in a separate, new Addendum or Addenda. It is Applicant's responsibility to check the [HHS Grants RFA website](#) or contact the Sole Point of Contact for a copy of the Addendum with the amended answers.

7.6 CHANGES, AMENDMENT, OR MODIFICATION TO RFA

HHSC reserves the right to change, amend, modify, or cancel this RFA. All changes, amendments and modifications or cancellation will be posted by Addendum on the [HHS Grants RFA website](#).

It is the responsibility of each Applicant to periodically check the [HHS Grants RFA website](#) for any additional information regarding this RFA. Failure to check the posting website will in no way release any Applicant or awarded Grantee from the requirements of posted Addenda or additional information. No HHS agency will be responsible or liable in any regard for the failure of any individual or entity to receive notification of any posting to the websites or for the failure of any Applicant or awarded Grantee to stay informed of all postings to these websites. If the Applicant fails to monitor these websites for any changes or modifications to this RFA, such failure will not relieve the Applicant of its obligation to fulfill the requirements as posted.

7.7 EXCEPTIONS

Applicants are highly encouraged, in lieu of including exceptions in their Applications, to address all issues that might be advanced by way of an exception by submitting **Exhibit K, Exceptions** or via questions or requests for clarification pursuant to **Section 7.3, RFA Questions and Requests for Clarification**.

No exception, nor any other term, condition, or provision in an Application that differs, varies from, or contradicts this RFA, will be considered to be part of any Grant Agreement resulting from this RFA unless expressly made a part of the Grant Agreement in writing by the System Agency.

ARTICLE VIII. APPLICATION ORGANIZATION AND SUBMISSION REQUIREMENTS

8.1 APPLICATION RECEIPT

Applications must be received by HHSC by the Deadline for Submission of Applications specified in **Section 7.1, Schedule of Events**, or subsequent Addenda. HHSC will date and time stamp all Applications upon receipt. Applications received after the Deadline for

Submission of Applications may be ruled ineligible. Applicants should allow for adequate time for submission before the posted Deadline for Submission of Applications.

No HHS agency will be held responsible for any Application that is mishandled prior to receipt by HHSC. It is the Applicant's responsibility to ensure its Application is received by HHSC before the Deadline for Submission of Applications. No HHS agency will be responsible for any technical issues that result in late delivery, non-receipt of an Application, inappropriately identified documents, or other submission issue that may lead to disqualification.

Note: All Applications become the property of HHSC after submission and receipt and will not be returned to Applicant.

Applicants understand and acknowledge that issuance of this RFA or retention of Applications received in response to this RFA in no way constitutes a commitment to award Grant Agreement(s) as a result of this RFA.

8.2 APPLICATION SUBMISSION

By submitting an Application in response to this Solicitation, Applicant represents and warrants that the individual submitting the Application and any related documents on behalf of the Applicant is authorized to do so and to binds the Applicant under any Grant Agreement that may result from the submission of an Application.

8.3 REQUIRED SUBMISSION METHOD

1. Applicants must submit their completed Applications by the Deadline for Submission of Applications provided in the **Section 7.1, Schedule of Events**, or subsequent Addenda, using one of the approved methods identified below. Applications submitted by any other method (e.g., facsimile, email) will not be considered and will be disqualified.
2. **Submission Option #1 - HHS Online Bid Room:** Applicants shall upload the following documents to the Online Bid Room utilizing the procedures in **Exhibit I, HHS Online Bid Room**. **File Size Limitation:** Restriction to 250MB per file attachment.
 - a. One (1) copy marked as "Original Application" that contains the Applicant's entire Application in a Portable Document Format (".pdf") file.
 - b. One (1) copy of the completed **Exhibit C, Requested Budget Template**, in its original Excel format.
 - c. One (1) copy of the complete Application marked as "Public Information Act Copy," if applicable, in accordance with **Section 12.1, Texas Public Information Act**, in a Portable Document Format (".pdf") file.

3. **Submission Option #2 - Sealed Package with USB Drives:** Applicants shall submit each of the following on separate USB drives:

- a. One (1) USB drive with the complete Application file marked as “Original Application” in a Portable Document Format (“.pdf”) file. Include the USB in a separate envelope within the sealed Application package and mark the USB and envelope with “Original Application.” USB drive must include the completed **Exhibit C, Requested Budget Template**, in its original Excel format.
- b. One (1) USB drive with a copy of the complete Application file marked as “Public Information Act Copy,” if applicable and in accordance with **Section 12.1, Texas Public Information Act**. The copy must be in a Portable Document Format (“.pdf”) file. Include the USB in a separate envelope within the sealed package and mark the USB and envelope with “Public Information Act Copy” or “PIA Copy.”

Applicant must deliver Applications submitted via USB by one of the methods below.

Overnight/Express/Priority Mail	Hand Delivery
Health and Human Services Commission ATTN: Response Coordinator Tower Building, Room 108 1100 W. 49th St., MC 2020 Austin, Texas 78756	Health and Human Services Commission ATTN: Response Coordinator Procurement & Contracting Services Building 1100 W. 49th St., MC 2020 Austin, Texas 78756

Sealed packages must be clearly labeled with the following:

RFA No:	HHS0012453
RFA TITLE	Pregnant Parenting Intervention
DEADLINE FOR SUBMISSION OF APPLICATIONS	March 7, 2023, by 10:30 a.m. Central Time
SOLE POINT OF CONTACT’S NAME:	Amy Pearson
APPLICANT’S NAME:	Applicant’s legal name

Applicants are solely responsible for ensuring the USB drives are submitted in sealed packaging that is sufficient to prevent damage to contents and delivered by overnight or express mail, or hand delivery to the addresses above. No HHS agency will be responsible or liable for any damage.

8.4 COSTS INCURRED FOR APPLICATION

All costs and expenses incurred in preparing and submitting an Application in response to this RFA and participating in the RFA selection process are entirely the responsibility of the Applicant.

8.5 APPLICATION COMPOSITION

All Applications must:

1. Be responsive to all RFA requirements;
2. Be clearly legible;
3. Be presented using font type Verdana, Arial, or Times New Roman, font size 12 pt., with one (1) inch margins and 1.5 line spacing; the sole 12-point font size exception is no less than size 10 pt. for tables, graphs, and appendices;
4. Include page numbering for each section of the Application; and
5. Include signature of Applicant's authorized representative on all exhibits and forms requiring a signature. Copies of the Application documents should be made after signature.

8.6 APPLICATION ORGANIZATION

The complete Application file .pdf must:

1. Be organized in the order outlined in the **Article XIII, Submission Checklist**, and include all required sections (e.g., "Administrative Information," "Narrative Proposal," and "Exhibits to be Submitted with Application").
 - a. **Exhibit C, Requested Budget Template**, is to be submitted in its original Excel format.
 - b. Each Application section must have a cover page with the Applicant's legal name, RFA number, and Name of Grant identified.
2. Include all required documentation, exhibits, and forms completed and signed, as applicable. Copies of forms are acceptable, but all copies must be identical to the original. All exhibits must be submitted and obtained directly from the posted RFA package; previous versions and copies are not allowed or acceptable.

8.7 APPLICATION WITHDRAWALS OR MODIFICATIONS

Prior to the Deadline for Submission of Applications set forth in **Section 7.1, Schedule of Events**, or subsequent Addenda, an Applicant may:

1. Withdraw its Application by submitting a written request to the Sole Point of Contact; or
2. Modify its Application by submitting an entirely new submission, complete in all respects, using one of the approved methods of submission set forth in this RFA. The modification must be received by HHSC by the Deadline for Submission of Applications set forth in **Section 7.1, Schedule of Events**, or subsequent Addenda.

No withdrawal or modification request received after the Deadline for Submission of Applications, set forth in **Section 7.1, Schedule of Events**, or subsequent Addenda, will be considered. Additionally, in the event of multiple Applications received, the most timely received and/or modified Application will replace the Applicant's original and all prior submission(s) in its entirety and the original submission(s) will not be considered.

ARTICLE IX. APPLICATION SCREENING AND EVALUATION

9.1 OVERVIEW

A three-step selection process will be used during:

1. Application screening to determine whether the Applicant meets the all the minimum requirements as outlined in this RFA;
2. Evaluation based upon specific criteria; and
3. Final selection based upon State priorities and other relevant factors, as outlined in **Section 10.1, Final Selection**.

9.2 INITIAL COMPLIANCE SCREENING OF APPLICATIONS

All Applications received by the Deadline for Submission of Applications as outlined in **Section 7.1, Schedule of Events**, or subsequent Addenda, will be screened by HHSC to determine which Applications meet all the minimum requirements of this RFA and are deemed responsive and qualified for further consideration. See **Section 3.2, Application Screening Requirements**.

At the sole discretion of HHSC, Applications with errors, omissions, or compliance issues may be considered non-responsive and may not be considered. The remaining Applications will continue to the evaluation stage and will be considered in the manner and form as which they are received. HHSC reserves the right to waive minor informalities in an Application. A "minor informality" is an omission or error that, in the determination of HHSC if waived or modified, would not give an Applicant an unfair advantage over other Applicants or result in a material change in the Application or RFA requirements. **Note:** Any disqualifying factor set forth in this RFA does not constitute an informality (e.g., not

including Exhibit A, HHS Solicitation Affirmations, or Exhibit C, Requested Budget Template).

HHSC, at its sole discretion, may give an Applicant the opportunity to submit missing information or make corrections at any point after receipt of Application. The missing information or corrections must be submitted to the Sole Point of Contact e-mail address in **Section 7.2, Sole Point of Contact**, by the deadline set by HHSC. Failure to respond by the deadline may result in the rejection of the Application and the Applicant's not being considered for award.

9.3 QUESTIONS OR REQUESTS FOR CLARIFICATION FOR APPLICATIONS

System Agency reserves the right to ask questions or request clarification or revised documents for a submitted Application from any Applicant at any time prior to award. System Agency reserves the right to select qualified Applications received in response to this RFA without discussion of the Applications with Applicants.

9.4 EVALUATION CRITERIA

Applications will be evaluated and scored in accordance with the following scoring criteria using Exhibit H, PPI Evaluation Tool.

Scoring Criteria: Qualified Applications shall be evaluated based upon:

1. Organization Background and Staffing Plan – 25%
2. Program - 75%

9.5 PAST PERFORMANCE

System Agency reserves the right to request additional information and conduct investigations as necessary to evaluate any Application. By submitting an Application, the Applicant generally releases from liability and waives all claims against any party providing information about the Applicant at the request of System Agency.

System Agency may examine Applicant's past performance which may include, but is not limited to, information about Applicant provided by any governmental entity, whether an agency or political subdivision of the State of Texas, another state, or the federal government.

System Agency, at its sole discretion, may also initiate investigations or examinations of Applicant performance based upon media reports. Any negative findings, as determined by System Agency in its sole discretion, may result in System Agency removing the Applicant from further consideration for award.

Past performance information regarding Applicants may include, but is not limited to:

1. Notices of termination;
2. Cure notices;
3. Assessments of liquidated damages;
4. Litigation;
5. Audit reports; and
6. Non-renewals of grants or Contracts based on Applicant's unsatisfactory performance.

Applicants also may be rejected as a result of unsatisfactory past performance under any grant(s) or contract(s) as reflected in vendor performance reports, reference checks, or other sources. An Applicant's past performance may be considered in the initial screening process and prior to making an award determination.

Reasons for which an Applicant may be denied a Grant Agreement at any point after Application submission include, but are not limited to:

1. If applicable, Applicant has an unfavorable report or grade on the CPA Vendor Performance Tracking System (VPTS). VPTS may be accessed at: <https://comptroller.texas.gov/purchasing/programs/vendor-performance-tracking/>;
OR
2. Applicant is currently under a corrective action plan through HHSC or DSHS; OR
3. Applicant has had repeated, negative vendor performance reports for the same reason;
OR
4. Applicant has a record of repeated non-responsiveness to vendor performance issues;
OR
5. Applicant has contracts or purchase orders that have been cancelled in the previous 12 months for non-performance or substandard performance; OR
6. Any other performance issue that demonstrates that awarding a Grant Agreement to Applicant would not be in the best interest of the State.

9.6 COMPLIANCE FOR PARTICIPATION IN STATE CONTRACTS

Prior to award of a Grant Agreement as a result of this RFA and in addition to the initial screening of Applications, all required verification checks will be conducted.

The information (e.g., legal name and, if applicable, assumed name [d/b/a], tax identification number, Unique Entity Identifier ["UEI", a unique identifier created via SAM.gov, which replaces the previously used DUNS]) provided by Applicant will be used to conduct these checks. At System Agency's sole discretion, Applicants found to be barred, prohibited, or otherwise excluded from award of a Grant Agreement may be disqualified from further consideration under this solicitation, pending satisfactory resolution of all compliance issues.

Checks include:

1. State of Texas Debarment and Warrant Hold

Applicant must not be debarred from doing business with the State of Texas <https://comptroller.texas.gov/purchasing/programs/vendor-performance-tracking/debarred-vendors.php> or have an active warrant or payee hold placed by the Comptroller of Public Accounts (CPA).

2. U.S. System of Award Management (SAM) Exclusions List

Applicant must not be excluded from Contract participation at the federal level. This verification is conducted through SAM, the official website of the U.S. Government which may be accessed at: <https://usfcr.com/sam-registration>.

3. Divestment Statute Lists

Applicant must not be listed on the Divestment Statute Lists provided by CPA, which may be accessed at:

<https://comptroller.texas.gov/purchasing/publications/divestment.php>.

- a. Companies that boycott Israel;
- b. Companies with Ties to Sudan;
- c. Companies with Ties to Iran;
- d. Foreign Terrorist Organizations; and
- e. Companies with Ties to Foreign Terrorist Organizations.

4. HHS Office of Inspector General

Applicant must not be listed on the HHS Office of Inspector General Texas Exclusions List for people or businesses excluded from participating as a provider: <https://oig.hhs.texas.gov/exclusions>

5. U.S. Department of Health and Human Services

Applicant must not be listed on the U.S. Department of Health and Human Services Office of Inspector General's List of Excluded Individuals/Entities (LEIE), excluded from participation as a provider, unless a valid waiver is currently in effect: <https://www.oig.hhs.gov/exclusions/index.asp>

Additionally, if a subrecipient under a federal award, the Grantee shall comply with requirements regarding registration with the U.S. Government's System for Award Management (SAM). This requirement includes maintaining an active SAM registration and the accuracy of the information in SAM. The Grantee shall review and update information at least annually after initial SAM registration and more frequently as required by 2 CFR Part 25.

For Grantees that may make procurements using grant funds awarded under the Grant Agreement, Grantee must check SAM Exclusions that contain the names of ineligible, debarred, and/or suspended parties. Grantee certifies through acceptance of a Grant Agreement it will not conduct business with any entity that is an excluded entity under SAM.

HHSC reserves the right to conduct additional checks to determine eligibility to receive a Grant Agreement.

ARTICLE X. AWARD OF GRANT AGREEMENT PROCESS

10.1 FINAL SELECTION

After initial screening for eligibility and Application completeness, and initial evaluation against the criteria listed in **Section 9.4, Evaluation Criteria**, the System Agency may apply other considerations such as program policy or other selection factors that are essential to the process of selecting Applications that individually or collectively achieve program objectives. In applying these factors, the System Agency may consult with internal and external subject matter experts. The funding methodology for issuing final Grant Agreements will be determined by the selection factors.

HHSC intends on making multiple awards. After initial screening for eligibility, Application completeness, and initial scoring of the elements listed above in **Section 9.4, Evaluation Criteria**. A selection committee will look at all eligible Applicants to determine which Applications should be awarded in order to most effectively accomplish State priorities. The selection committee will recommend grant awards to be made to the HHSC Executive Commissioner, who will make the final award approval.

HHSC will make all final funding decisions based on eligibility, geographic distribution across the State, State priorities, reasonableness, availability of funding, and cost-effectiveness.

Applications must meet the minimum score (70) in order to receive an award. If there is not an Applicant in a county or an Applicant meeting the minimum score requirement in a county the Applicant with the next highest score not otherwise awarded a Contract in a specific county will be awarded. There will be one (1) award in each of the following six (6) counties: Bexar; Dallas; Harris; Nueces; Tarrant; and Travis. The seventh award will be the highest score in the remaining 19 eligible counties: Bell; Cameron; Collin; Denton; Ector; El Paso; Galveston; Gregg; Hidalgo; Jefferson; Johnson; Lubbock; McLennan; Montgomery; Potter; Smith; Taylor; Webb; and Williamson.

The procurement is in development and the Application forms and/or processes have not been established, however these are the proposed procedures if a tie occurs:

1. The score will be increased to 2 numbers beyond the decimal;
2. If a tie remains the Applicant with the highest score under the “Program” evaluation criteria will be awarded; and
3. If a tie remains the Applicant with the highest score under the “Organization Background and Staffing Plan” evaluation criteria will be awarded.

The System Agency will make final funding decisions based on Applicant eligibility, evaluation rankings, the funding methodology above, and include as applicable: geographic distribution across the State, State priorities, reasonableness, availability of funding, cost-effectiveness, and other relevant factors.

All funding recommendations will be considered for approval by the HHSC Program Deputy Executive Commissioner or their designee.

10.2 NEGOTIATIONS

After selecting Applicants for award, the System Agency may engage in negotiations with selected Applicants. As determined by System Agency, the negotiation phase may involve direct contact between the selected Applicant and HHS representatives by virtual meeting, by phone and/or by email. Negotiations should not be interpreted as a preliminary intent to award funding unless explicitly stated in writing by the System Agency and is considered a step to finalize the Application to a state of approval and discuss proposed grant activities. During negotiations, selected Applicants may expect:

1. An in-depth discussion of the submitted Application and Requested Budget; and
2. Requests from the System Agency for revised documents, clarification, or additional detail regarding the Applicant's submitted Application. These clarifications and additional details, as required, must be submitted in writing by Applicant as finalized during the negotiation.

10.3 DISCLOSURE OF INTERESTED PARTIES

Subject to certain specified exceptions, [Section 2252.908 of the Texas Government Code](#) Disclosure of Interested Parties, applies to a Grant Agreement of a State agency that has a value of \$1 million or more; requires an action or vote by the governing body of the entity or agency before the Grant Agreement may be signed; or is for services that would require a person to register as a lobbyist under Chapter 305 of the Texas Government Code.

One of the requirements of [Section 2252.908](#) is that a business entity (defined as “any entity recognized by law through which business is conducted, including a sole proprietorship, partnership, or corporation”) must submit a Form 1295, Certificate of Interested Parties, to the System Agency at the time the business entity submits the signed Grant Agreement.

Applicant represents and warrants that, if selected for award of a Grant Agreement as a result of this RFA, Applicant will submit to the System Agency a completed, certified and signed Form 1295, Certificate of Interested Parties, at the time the potential Grantee submits the signed Grant Agreement.

The Form 1295 involves an electronic process through the Texas Ethics Commission (TEC). The online process for completing the Form 1295 may be found on the TEC public website at: https://www.ethics.state.tx.us/whatsnew/elf_info_form1295.htm.

Additional instructions and information to be used to process the Form 1295 will be provided by the System Agency to the potential Grantee(s). Grantee may contact Sole Point of Contact or designated Contract manager for information needed to complete Form 1295.

If the potential Grantee does not submit a completed, certified and signed TEC Form 1295 to the System Agency with the signed Grant Agreement, the System Agency is prohibited by law from executing a Grant Agreement, even if the potential Grantee is otherwise eligible for award. The System Agency, as determined in its sole discretion, may award the Grant Agreement to the next qualified Applicant, who will then be subject to this procedure.

10.4 EXECUTION AND ANNOUNCEMENT OF GRANT AGREEMENT(S)

The System Agency intends to award multiple Grant Agreements as a result of this RFA. However, not all Applicants who are deemed eligible to receive funds are assured of receiving a Grant Agreement.

At any time and at its sole discretion, System Agency reserves the right to cancel this RFA, make partial award, or decline to award any Grant Agreement(s) as a result of this RFA.

The final funding amount and the provisions of the grant will be determined at the sole discretion of System Agency.

HHSC may announce tentative funding awards through an “Intent to Award Letter” once the HHSC Program Deputy Executive Commissioner and relevant HHSC approval authorities have given approval to initiate and/or execute grants. Receipt of an “Intent to Award Letter” does not authorize the recipient to incur expenditures or begin Project activities, nor does it guarantee current or future funding.

Upon execution of a Grant Agreement(s) as a result of this RFA, HHSC will post a notification of all grants awarded to the [HHS Grants RFA](#) website.

ARTICLE XI. GENERAL TERMS AND CONDITIONS

11.1 GRANT APPLICATION DISCLOSURE

In an effort to maximize State resources and reduce duplication of effort, the System Agency, at its discretion, may require the Applicant to disclose information regarding the Application for or award of State, federal, and/or local grant funding to the Applicant or subgrantee or subcontractor (i.e. organization who will participate, in part, in the operation of the Project) within the past two years to demonstrate effective linkage to Community-Based referrals and community resources for this at-risk and high-risk population. It is

expected that eligible Applicants have the demonstrated experience, expertise, and infrastructure to perform the work outlined in this RFA.

11.2 TEXAS HISTORICALLY UNDERUTILIZED BUSINESSES (HUBS)

In procuring goods and services using funding awarded under this RFA, Grantee must use HUBs or other designated businesses as required by law or the terms of the State or federal grant under which this RFA has been issued. See, e.g., 2 CFR § 200.321. If there are no such requirements, System Agency encourages Applicant to use HUBs to provide goods and services.

For information regarding the Texas HUB program, refer to CPA's website: <https://comptroller.texas.gov/purchasing/vendor/hub/>.

ARTICLE XII. APPLICATION CONFIDENTIAL OR PROPRIETARY INFORMATION

12.1 TEXAS PUBLIC INFORMATION ACT – APPLICATION DISCLOSURE REQUIREMENTS

Applications and resulting Grant Agreements are subject to the Texas Public Information Act (PIA), [Texas Government Code Chapter 552](#), and may be disclosed to the public upon request. Other legal authority also requires System Agency to post grants and Applications on its public website and to provide such information to the Legislative Budget Board for posting on its public website.

Under the PIA, certain information is protected from public release. If Applicant asserts that information provided in its Application is exempt from disclosure under the PIA, Applicant must:

1. Mark Original Application:

- a. Mark the Original Application, at the top of the front page, with the words “CONTAINS CONFIDENTIAL INFORMATION” in large, bold, capitalized letters (the size of, or equivalent to, 12-point Times New Roman font); and
- b. Identify, adjacent to each portion of the Application that Applicant claims is exempt from public disclosure, the claimed exemption from disclosure (NOTE: no redactions are to be made in the Original Application);

2. Certify in Original Application – HHS Solicitation Affirmations: Certify, in the designated section of the **Exhibit A, HHS Solicitation Affirmations**, Applicant's

confidential information assertion and the filing of its Public Information Act Copy;
and

3. **Submit Public Information Act Copy of Application:** Submit a separate “Public Information Act Copy” of the Original Application (in addition to the original and all copies otherwise required under the provisions of this RFA). The Public Information Act Copy must meet the following requirements:
 - a. The copy must be clearly marked as “Public Information Act Copy” on the front page in large, bold, capitalized letters (the size of, or equivalent to, 12-point Times New Roman font);
 - b. Each portion Applicant claims is exempt from public disclosure must be redacted (blacked out); and
 - c. Applicant must identify, adjacent to each redaction, the claimed exemption from disclosure. Each identification provided as required in Subsection (3) of this section must be identical to those set forth in the Original Application as required in Subsection 1(b), above. The only difference in required markings and information between the Original Application and the “Public Information Act Copy” of the Application will be redactions – which can only be included in the “Public Information Act Copy.” There must be no redactions in the Original Application.

By submitting an Application under this RFA, Applicant agrees that, if Applicant does not mark the Original Application, provide the required certification in Exhibit A, HHS Solicitation Affirmations, and submit the Public Information Act Copy, the Application will be considered to be public information that may be released to the public in any manner including, but not limited to, in accordance with the Public Information Act, posted on the System Agency’s public website, and posted on the Legislative Budget Board’s public website.

If any or all Applicants submit partial, but not complete, information suggesting inclusion of confidential information and failure to comply with the requirements set forth in this section, the System Agency, in its sole discretion, reserves the right to (1) disqualify all Applicants that fail to fully comply with the requirements set forth in this section, or (2) to offer all Applicants that fail to fully comply with the requirements set forth in this section additional time to comply.

No Applicant should submit a Public Information Act Copy indicating that the entire Application is exempt from disclosure. Merely making a blanket claim that the entire Application is protected from disclosure because it contains any amount of confidential, proprietary, trade secret, or privileged information is not acceptable, and may make the entire Application subject to release under the PIA.

Applications should not be marked or asserted as copyrighted material. If Applicant asserts a copyright to any portion of its Application, by submitting an Application, Applicant agrees to reproduction and posting on public websites by the State of Texas, including the System Agency and all other State agencies, without cost or liability.

The System Agency will strictly adhere to the requirements of the PIA regarding the disclosure of public information. As a result, by participating in this RFA, Applicant acknowledges that all information, documentation, and other materials submitted in its Application may be subject to public disclosure under the PIA. The System Agency does not have authority to agree that any information submitted will not be subject to disclosure. Disclosure is governed by the PIA and by rulings of the Office of the Texas Attorney General. Applicants are advised to consult with their legal counsel concerning disclosure issues resulting from this process and to take precautions to safeguard trade secrets and proprietary or otherwise confidential information. The System Agency assumes no obligation or responsibility relating to the disclosure or nondisclosure of information submitted by Applicants.

For more information concerning the types of information that may be withheld under the PIA or questions about the PIA, please refer to the Public Information Act Handbook published by the Office of the Texas Attorney General or contact the attorney general's Open Government Hotline at (512) 478-OPEN (6736) or toll-free at (877) 673-6839 (877-OPEN TEX). To access the Public Information Act Handbook, please visit the attorney general's website at: <https://www.texasattorneygeneral.gov>.

12.2 APPLICANT WAIVER – INTELLECTUAL PROPERTY

SUBMISSION OF ANY DOCUMENT TO ANY HHS AGENCY IN RESPONSE TO THIS SOLICITATION CONSTITUTES AN IRREVOCABLE WAIVER, AND AGREEMENT BY THE SUBMITTING PARTY TO FULLY INDEMNIFY THE STATE OF TEXAS AND HHS FROM ANY CLAIM OF INFRINGEMENT REGARDING THE INTELLECTUAL PROPERTY RIGHTS OF THE SUBMITTING PARTY OR ANY THIRD PARTY FOR ANY MATERIALS SUBMITTED TO HHS BY THE SUBMITTING PARTY.

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ARTICLE XIII. SUBMISSION CHECKLIST

HHSC, in its sole discretion, will review all Applications received and will determine if any or all Applications which do not include complete, signed copies of these exhibits, will be disqualified or whether additional time will be permitted for submission of the incomplete or missing exhibits. If additional time is permitted, Applicants will be notified in writing of the opportunity to provide the missing documentation by a specified deadline. Failure by an Applicant to submit the requested documentation by the deadline WILL result in disqualification. Applications that do not include Exhibit A, HHS Solicitation Affirmations (completed and signed), and Exhibit C, Requested Budget Template (completed), will be disqualified. See Section 9.2, Initial Compliance Screening of Applications for further detail.

This Submission Checklist identifies the documentation, forms and exhibits that are required to be submitted as part of the Application.

The Application must be organized in the order below and include each required section and the forms and exhibits identified within a section:

1. Administrative Information

- a. Form A, Face Page _____
- b. Form B, Administrative Information _____
- c. Form B-1, Governmental Entity, if applicable _____
- d. Form B-2, Nonprofit or For-profit Entity, if applicable _____

2. Narrative Proposal [The Narrative Proposal must be titled “Narrative Proposal” and include the Applicant’s Legal Name, the RFA No., and the name of the Grant Program. Use the titles below for each required section.]

- a. Form C, PPI Program Background and Staffing _____
- b. Form D, PPI Program Narrative _____
- c. Form E, Texas Health and Human Services System Indirect Costs Rate (ICR) Questionnaire _____
- d. Form F, Financial Management and Administrative Questionnaire _____

3. Exhibits to be Completed, Signed, and Submitted with Application

- a. Exhibit A, HHS Solicitation Affirmations _____
Exhibit A is mandatory and must be completed, signed, and submitted for the Application to be considered responsive.

Applications received without Exhibit A or with an unsigned Exhibit A may be disqualified.

- b. Exhibit C, Requested Budget Template (Excel) _____
This Requested Budget Template is mandatory and must be submitted with the Application, in the original format (Excel), for the Application to be considered responsive.
 - c. Exhibit D, HHS Data Use Agreement (DUA) Governmental Entity or Exhibit D-1, HHS Data Use Agreement (DUA) _____
 - d. Exhibit D-2, Texas HHS System-Data Use Agreement-Attachment 2, Security and Privacy Inquiry (SPI) _____
 - e. Exhibit F, Assurances – Non-Construction Programs _____
 - f. Exhibit G, Certification Regarding Lobbying _____
 - g. Exhibit K, Exceptions Form _____
 - h. Exhibit J, Federal Funding Accountability and Transparency Act (FFATA) Certification Form _____
4. **Addenda:** Each Addendum, if any, must be signed and submitted with the Application. _____

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ARTICLE XIV. LIST OF ATTACHMENTS, EXHIBITS, AND FORMS ATTACHED TO RFA

Attachments

Attachment A, Scope of Grant Project

Attachment B, Health and Human Services (HHS) Offices by County

Attachment C, Clinical Management for Behavioral Health Services (CMBHS) PPI Services Screening

Attachment D, Neonatal Abstinence Program (NAS) Birthrates 2019

Exhibits

Exhibit A, HHS Solicitation Affirmations

Exhibit B, HHS Uniform Terms and Conditions - Grant

Exhibit C, Requested Budget Template

Exhibit D, HHS Data Use Agreement (DUA) Governmental Entity Version

Exhibit D-1, HHS Data Use Agreement (DUA)

Exhibit D-2, Texas HHS System-Data Use Agreement-Attachment 2 Security and Privacy Inquiry (SPI)

Exhibit E, Additional Provisions – Grant

Exhibit F, Assurances - Non-Construction Program

Exhibit G, Certification Regarding Lobbying

Exhibit H, PPI Evaluation Tool

Exhibit I, HHS Online Bid Room

Exhibit J, Federal Funding Accountability and Transparency Act (FFATA) Certification Form

Exhibit K, Exceptions Form

Forms

Form A, Face Page

Form B, Administrative Information

Form B-1, Governmental Entity Authorized Officials

Form B-2, Non-Profit or For-Profit Entity

Form C, PPI Program Background and Staffing

Form D, PPI Program Narrative

Form E, Texas Health and Human Services System Indirect Costs Rate (ICR) Questionnaire

Form F, Financial Management and Administrative Questionnaire